

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER		
CASM117536		
U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/AU00/00810	5 July 2000	7 July 1999
TITLE OF INVENTION		
SECURITY DOCUMENT WITH RAISED INTAGLIO PRINTED IMAGE		
APPLICANT(S) FOR DO/EO/US		
Joshua Robert NEMETH		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information by **Express Mail**:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 37 U.S.C. 371.
- ☒ 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ 4. The U.S. has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- ☒ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☐ a. is attached hereto (required only if not communicated by the International Bureau).
 - ☒ b. has been communicated by the International Bureau.
 - ☐ c. is not required, as the application was filed in the United States Receiving Office (RO/US).

LAW OFFICES OF
 CHRISTENSEN O'CONNOR
 JOHNSON & KINDNESS^{LLC}
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 Suite 2800
 Seattle, Washington 98101
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- _____ 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- _____ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- _____ a. are attached hereto (required only if not communicated by the International Bureau).
- _____ b. have been communicated by the International Bureau.
- _____ c. have not been made; however, the time limit for making such amendments has NOT expired.
- _____ d. have not been made and will not be made.

- _____ 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- X 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- _____ 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

- _____ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- _____ 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- X 13. A FIRST preliminary amendment.
- _____ 14. A SECOND or SUBSEQUENT preliminary amendment.
- _____ 15. A substitute specification.
- _____ 16. A change of power of attorney and/or address letter.
- _____ 17. A computer-readable form of the sequence listing in accordance with 35 U.S.C. 1.821 - 1.825.
- _____ 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- _____ 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- _____ 20. Other items or information:

<input checked="" type="checkbox"/> 21. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710					
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1,000	
Surcharge of \$130 for furnishing the oath or declaration later than ____ 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$--	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =	0	X \$18	\$--	
Independent claims	2 - 3 =	0	X \$80	\$--	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$270	\$270	
TOTAL OF ABOVE CALCULATIONS =				\$1,270	
____ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$--	
SUBTOTAL =				\$1,270	
Processing fee of \$130 for furnishing the English translation later than ____ 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$--	
TOTAL NATIONAL FEE =				\$1,270	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$--	
TOTAL FEES ENCLOSED =				\$1,270	
				Amount to be: refunded	\$
				charged	\$

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- X a. A check in the amount of \$ 1,270 to cover the above fees is enclosed. Check No. 128854. IC18 Rec'd PCT/PTO 31 MAY 2001
- b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- X c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1740. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

Gary S. Kindness
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Respectfully submitted,

CHRISTENSEN O'CONNOR
 JOHNSON KINDNESS^{PLLC}

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EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EL599431223USDate of Deposit May 31, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jeanne Barnes

(Typed or printed name of person mailing paper or fee)

Jeanne R. Barnes

(Signature of person mailing paper or fee)

GSK/PAS:lsj

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